

## Message Text

LIMITED OFFICIAL USE

PAGE 01     BERN 03529 090853Z

17  
ACTION EUR-12

INFO OCT-01 ISO-00 SEC-01 /014 W  
----- 055047  
R 090828Z AUG 76  
FM AMEMBASSY BERN  
TO SECSTATE WASHDC 3008

LIMITED OFFICIAL USE BERN 3529

EO 11652: NA  
TAGS: EFIN US SZ  
SUBJ: VIOLATIONS OF SEC REGULATIONS ALLEGEDLY INVOLVING SWISS  
FINANCIAL INSTITUTIONS

REF: STATE 174404

1. REFTEL REQUESTED SWISS REACTION TO SEC CHAIRMAN RODERICK  
M. HILLS' STATEMENT BEFORE THE COMMERCE, CONSUMER AND  
MONETARY AFFAIR SUBCOMMITTEE OF THE COMMITTEE ON GOVT  
OPERATIONS OF JUNE 28, AND TO THE ADDITIONAL INFORMATION  
CONTAINED REFTEL WHICH MADE CLEAR WHICH CASES CHAIRMAN HILLS  
WAS REFERRING TO.

2. BERNHARD MUELLER, DIRECTOR, SECRETARIAT, SWISS FEDERAL  
BANKING COMMISSION, HAS TOLD THE ECON/COM COUNSELOR THAT HE  
IS VERY GRATEFUL FOR THE ADDITIONAL INFORMATION. THE COM-  
MISSION, HE SAID, WISHES TO EXPAND COOPERATION WITH THE  
SEC IN COMBATTING INTERNATIONAL ECONOMIC CRIMES AND FRAUD.  
HIS STAFF IS LOOKING INTO THE CASES CITED BY CHAIRMAN HILLS.  
DAVIS

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NNN

## Message Attributes

**Automatic Decaptioning:** X  
**Capture Date:** 01 JAN 1994  
**Channel Indicators:** n/a  
**Current Classification:** UNCLASSIFIED  
**Concepts:** POLICIES, BANK LAW, BANKS  
**Control Number:** n/a  
**Copy:** SINGLE  
**Draft Date:** 09 AUG 1976  
**Decaption Date:** 01 JAN 1960  
**Decaption Note:**  
**Disposition Action:** RELEASED  
**Disposition Approved on Date:**  
**Disposition Authority:** saccheem  
**Disposition Case Number:** n/a  
**Disposition Comment:** 25 YEAR REVIEW  
**Disposition Date:** 28 MAY 2004  
**Disposition Event:**  
**Disposition History:** n/a  
**Disposition Reason:**  
**Disposition Remarks:**  
**Document Number:** 1976BERN03529  
**Document Source:** CORE  
**Document Unique ID:** 00  
**Drafter:** n/a  
**Enclosure:** n/a  
**Executive Order:** N/A  
**Errors:** N/A  
**Film Number:** D760305-0784  
**From:** BERN  
**Handling Restrictions:** n/a  
**Image Path:**  
**ISecure:** 1  
**Legacy Key:** link1976/newtext/t19760873/aaaacmnt.tel  
**Line Count:** 46  
**Locator:** TEXT ON-LINE, ON MICROFILM  
**Office:** ACTION EUR  
**Original Classification:** LIMITED OFFICIAL USE  
**Original Handling Restrictions:** n/a  
**Original Previous Classification:** n/a  
**Original Previous Handling Restrictions:** n/a  
**Page Count:** 1  
**Previous Channel Indicators:** n/a  
**Previous Classification:** LIMITED OFFICIAL USE  
**Previous Handling Restrictions:** n/a  
**Reference:** 76 STATE 174404  
**Review Action:** RELEASED, APPROVED  
**Review Authority:** saccheem  
**Review Comment:** n/a  
**Review Content Flags:**  
**Review Date:** 12 MAY 2004  
**Review Event:**  
**Review Exemptions:** n/a  
**Review History:** RELEASED <12 MAY 2004 by buchant0>; APPROVED <14 SEP 2004 by saccheem>  
**Review Markings:**

Margaret P. Grafeld  
Declassified/Released  
US Department of State  
EO Systematic Review  
04 MAY 2006

**Review Media Identifier:**  
**Review Referrals:** n/a  
**Review Release Date:** n/a  
**Review Release Event:** n/a  
**Review Transfer Date:**  
**Review Withdrawn Fields:** n/a  
**Secure:** OPEN  
**Status:** NATIVE  
**Subject:** VIOLATIONS OF SEC REGULATIONS ALLEGEDLY INVOLVING SWISS FINANCIAL INSTITUTIONS  
**TAGS:** EFIN, US, SZ  
**To:** STATE  
**Type:** TE  
**Markings:** Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 04 MAY 2006